Thoughts and Figures on Quality Measurements

- Introduction
 - Different factors influencing quality
- Quality measure as performance predictor
 - Comparison of NFIQ with proprietary quality measure
 - Comment on NFIQ
- Quality measure as a selection tool
 - Select fingers to put on the card for 1:1 after a 10-finger enrolment
 - Select a best image in a stream ("auto capture")
- Quality measure as a analysis tool
 - Correlation of different biometrics
 - Impact on fusion
- Conclusion





Different Factors Influencing Quality

(defined as a matcher performance predictor)

- Biometric sample degradation / occlusion
 - Fingers: scars, burns...
 - Iris: specific diseases, lenses, glasses
 - Face: glasses, hair, beard, ...
- Acquisition Device quality
 - Resolution, MTF, signal-to-noise ratio, ... (As in IQS app F/G for fingerprints)
- Acquisition environment
 - Finger: external light, temperature, dryness/humidity, ...
 - Face: Ambient light (IR)
 - Iris: Ambient light (visible), background of the scene
- User/device interaction
 - Finger: Finger positioning on platen
 - Face: Orientation of the head, mimics
 - Iris: Positioning in the capture volume,
- → There is more behind quality defined as a matcher performance predictor than just a measure of damaged finger or of the quality of the acquisition device.

In particular, <u>user/device interaction is critical</u> ("ergonomics")









Quality Measure as Performance Predictor:

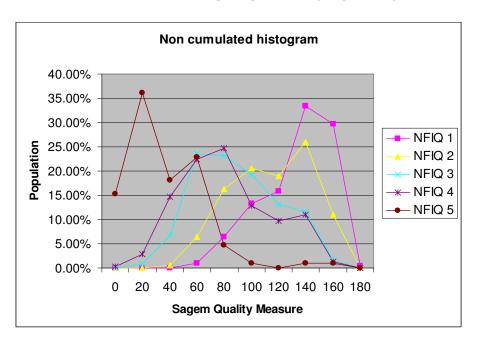
Comparison of NFIQ and Proprietary Quality

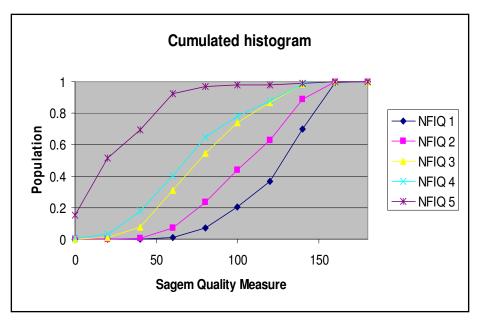




Correlation Between NFIQ and Proprietary Quality

Distribution of proprietary quality mark on each NFIQ quality levels





- The cumulated histogram shows a good correlation between the 2 measures
- The non cumulated histogram shows an overlap between the NFIQ classes
 - ⇒ NFIQ and proprietary quality measurements correlate well; however, there are some differences.
 - ⇒ Study in more detail the effectiveness of the two measurements



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NFIQ as a Sagem Performance Predictor Effectiveness

FRR in each "NFIQ bin"

NFIQ	FRR
1	0.00%
2	0.00%
3	0.00%
4	1.39%
5	3.11%

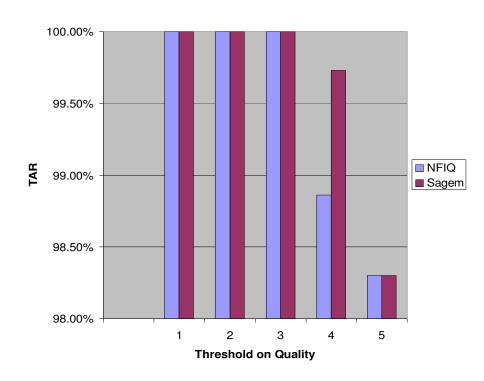
- Good Prediction effectiveness
 - No FRR for Quality 1,2,3 (more than 80% of the images)
 - FRR increases as the quality increases
 - Confirms the finding of NIST NFIQ report.





Comparison of NFIQ and Proprietary Quality

- In order to compare the prediction effectiveness, we "mapped" Sagem quality measure on NFIQ.
- This is done by quantifying our quality measure in 5 classes in a way to have the same population in the 5 Sagem classes as in the 5 NFIQ classes



Better separation of FRR with proprietary quality (less FRR in bin #4)

=> Both quality measures are useful :

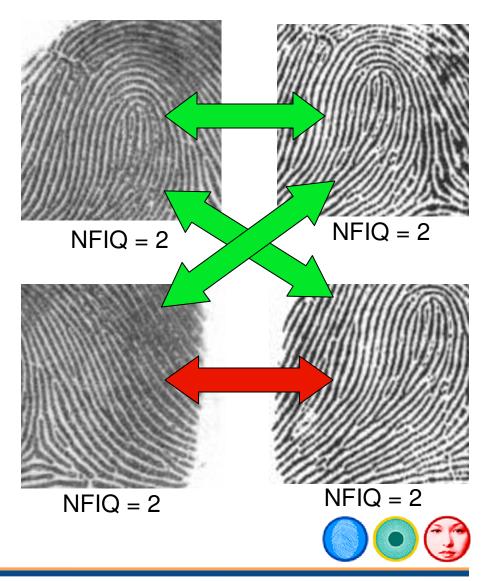
- NFIQ as an generic performance predictor
- Sagem measurement is preferred when Sagem matcher is used





Comment on NFIQ: Common Area Issues

- Performance depends on:
 - Quality of information
 - Ridge clarity
 - Quantity of information
 - Surface
 - Number of minutiae
 - Reproducibility of information
 - Probability to see the same information in both samples
 - Core has to be well centered
- In order to improve effectiveness:
 - <u>Reproducibility</u> has to be taken into account
 - Large surface and high number of minutiae increase the reproducibility
 - But it is not sufficient especially
 - With smaller sensors (capacitive)
 - Especially for non habituated users





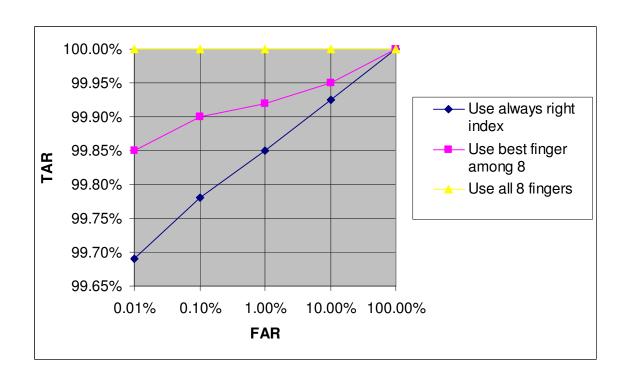
Quality Measure as Selection Tool

Select the best finger to put on a card for 1:1 after a 10-finger enrolment (ex : ID systems, PIV)





Using Quality Measure to Choose the Best Finger



- If only one finger has to be kept, choosing the best finger by using a quality measurement gives significantly better results than always taking the same finger
- Of course, it is always better to use several or all the fingers available



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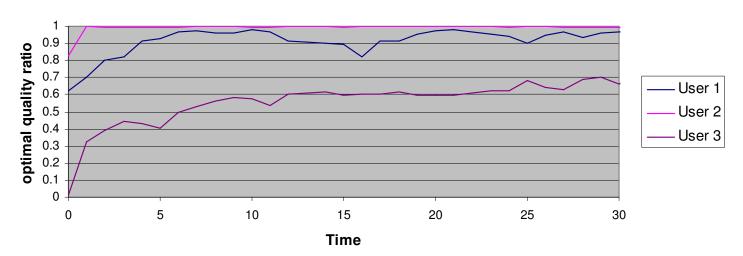
Quality Measure as Selection Tool

Select the best biometrics in a stream ("auto capture")





Quality as a Selection Tool : the Challenge of Auto Capture

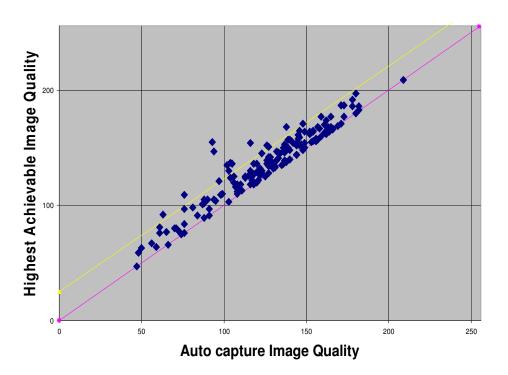


- "Auto capture" is an algorithm to automatically detect the best image in a stream
 - An efficient "auto capture" algorithm has to detect
 - the best quality image (accuracy)
 - as quickly as possible (response time, ergonomics)
 - A good "Auto capture" algorithm will improve
 - Capture speed and ergonomics
 - But also makes the quality of the captured data less dependent on the user or operator
 - Quality measure is used to optimize the choice of best image
 - Trade off between acquisition time and quality of the captured sample
 - Need to have a real time quality measure
 - Best possible quality for a person unknown





Quality as a Selection Tool: the Challenge of Auto Capture for Slaps Scanners



- X axis is quality of the image chosen by the auto capture.
- Y axis is the best reachable quality in the sequence (chose a posteriori)

- Slaps segmentation and quality assessment on each finger cannot be done in real time (30 frames/sec)
 - Need to have a simplified, real time quality assessment to trigger the acquisition
- Real time quality assessment and a posteriori quality assessment concur (less than 10% difference compared to the optimal value)



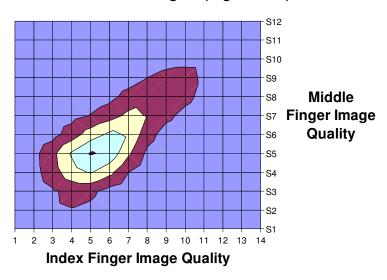
Quality Measure as Tool for Analyses Multi Biometrics - Fusion



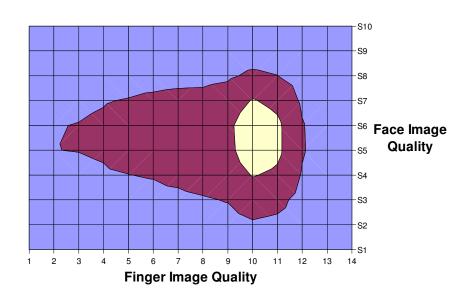


Correlation Between Biometrics

Correlation of Finger Image Quality of Index And Middle Fingers (Right Hand)



Correlation Face Image Quality / Finger Image Quality

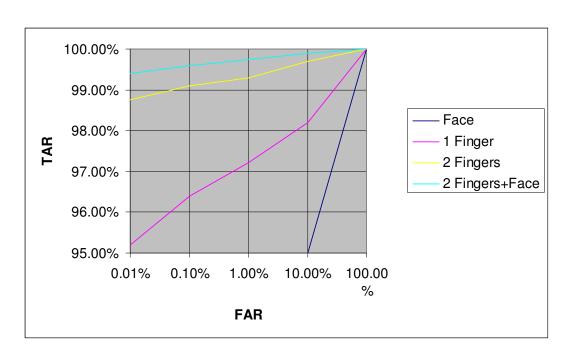


- Qualities of fingers of same person are correlated, especially on the same hand
- Hardly any correlation between quality of finger and face





Correlation Between Different Biometrics: Impact on Fusion



- On this operational database, performance of single biometrics (face alone or one finger alone) was poor.
- The main reason is bad procedures and lack of training of operators

- Fusion of two fingerprints improves performance despite the fact that the two fingers are correlated, because fingerprint is a strong biometrics
- <u>Fusion of fingerprints and face</u> improves performance despite the fact that face is a weaker biometrics, because of the non correlation





Conclusion

- Effectiveness to predict matcher performance is a great definition for quality
- With this definition, quality is more than just a measure of the quality of the biometrics or of the sensor used
 - in particular, user/sensor interaction is critical
- NFIQ is a good predictor of Sagem matcher performance; however, Sagem quality measure is more efficient
- Both quality measures are interesting
 - NFIQ as an generic performance predictor
 - Proprietary (Sagem) measurement is preferred when Sagem matcher is used
 - It makes sense to keep both, as planned for the ANSI/NIST update
- Information on reproducibility should be added
 - Especially true with smaller sensor (e.g. capacitive) and non habituated users
- It would be nice to have the same for face and iris
 - Proprietary measures exist
 - Global measure validated on several vendors would be useful



